

# **National Bank Investments**

Yearly Proxy Voting Report

July 1, 2022 to July 31, 2023

### **VOTE SUMMARY REPORT**

REPORTING PERIOD: 07/01/2022 to 06/30/2023

INSTITUTION ACCOUNT(S): NBI SUSTAINABLE GLOBAL THEMATIC ETF

# **STERIS** plc

**Meeting Date:** 07/28/2022 **Record Date:** 06/01/2022

Primary Security ID: G8473T100

**Country:** Ireland **Meeting Type:** Annual

Primary CUSIP: G8473T100

Ticker: STE

Primary ISIN: IE00BFY8C754

Primary SEDOL: BFY8C75

Shares Voted: 35,112

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Richard C. Breeden   | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Daniel A. Carestio   | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Cynthia L. Feldmann  | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Christopher S. Holland                                     | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Jacqueline B. Kosecoff                                     | Mgmt      | For         | Against             | Yes                     |
| 1f                 | Elect Director Paul E. Martin   | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Nirav R. Shah  | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Mohsen M. Sohi   | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Richard M. Steeves   | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Ernst & Young LLP as Auditors                                      | Mgmt      | For         | For                 | No                      |
| 3                  | Appoint Ernst & Young Chartered<br>Accountants as Irish Statutory Auditor | Mgmt      | For         | For                 | No                      |
| 4                  | Authorise Board to Fix Remuneration of Auditors                           | Mgmt      | For         | For                 | No                      |
| 5                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation         | Mgmt      | For         | For                 | No                      |

# **Apollo Hospitals Enterprise Limited**

Meeting Date: 08/25/2022 Record Date: 08/18/2022

**Primary Security ID:** Y0187F138

Country: India
Meeting Type: Annual
Primary CUSIP: Y0187F138

**Ticker:** 508869

Primary ISIN: INE437A01024

Primary SEDOL: 6273583

Shares Voted: 112,436

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1                  | Accept Financial Statements and<br>Statutory Reports | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Dividend                                     | Mgmt      | For         | For                 | No                      |
| 3                  | Reelect Shobana Kamineni as Director                 | Mgmt      | For         | For                 | No                      |

# **Apollo Hospitals Enterprise Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 4                  | Approve Deloitte Haskins & Sells, LLP,<br>Chartered Accountants as Auditors and<br>Authorize Board to Fix Their<br>Remuneration   | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Appointment of Prathap C<br>Reddy as Whole Time Director<br>Designated as Executive Chairman  | Mgmt      | For         | For                 | No                      |
| 6                  | Approve Payment of Remuneration to<br>Prathap C Reddy as Executive<br>Chairman, Preetha Reddy as Executive<br>Vice Chairperson, Suneeta Reddy as<br>Managing Director, Smt.Sangita Reddy<br>as Joint Managing Director and<br>Shobana Kamineni as Executive<br>Vice-Chairperson | Mgmt      | For         | For                 | No                      |
| 7                  | Approve Offer or Invitation to<br>Subscribe to Non-Convertible<br>Debentures on Private Placement Basis   | Mgmt      | For         | For                 | No                      |
| 8                  | Approve Remuneration of Cost<br>Auditors  | Mgmt      | For         | For                 | No                      |

### Flex Ltd.

**Meeting Date:** 08/25/2022 **Record Date:** 06/27/2022

Country: Singapore

Meeting Type: Annual

**Primary Security ID:** Y2573F102

Primary CUSIP: Y2573F102

Ticker: FLEX

**Primary ISIN:** SG9999000020

Primary SEDOL: 2353058
Shares Voted: 523,773

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Revathi Advaithi   | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Michael D. Capellas  | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director John D. Harris, II   | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Michael E. Hurlston  | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Erin L. McSweeney  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Marc A. Onetto   | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Charles K. Stevens, III  | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Lay Koon Tan   | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Patrick J. Ward  | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director William D. Watkins   | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Deloitte & Touche LLP as<br>Auditors and Authorize Board to Fix<br>Their Remuneration | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation                             | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Issuance of Shares without<br>Preemptive Rights                                       | Mgmt      | For         | For                 | No                      |

### Flex Ltd.

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt<br>Rec | Vote<br>Vote Against<br>Instruction Mgmt |  |
|--------------------|------------------------------------|-----------|-------------|--|--|
| 5                  | Authorize Share Repurchase Program | Mgmt      | For         | For No                                   |  |

## NIKE, Inc.

Meeting Date: 09/09/2022 **Record Date:** 07/08/2022

Primary Security ID: 654106103

Country: USA Meeting Type: Annual

**Primary CUSIP:** 654106103

Ticker: NKE

**Primary ISIN:** US6541061031

Primary SEDOL: 2640147

Shares Voted: 60,595

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Alan B. Graf, Jr.                                  | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Peter B. Henry                                     | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Michelle A. Peluso                                 | Mgmt      | For         | Withhold            | Yes                     |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | Against             | Yes                     |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |
| 4                  | Amend Qualified Employee Stock<br>Purchase Plan                   | Mgmt      | For         | For                 | No                      |
| 5                  | Adopt a Policy on China Sourcing                                  | SH        | Against     | Against             | No                      |

## **Koninklijke Philips NV**

Meeting Date: 09/30/2022 **Record Date:** 09/02/2022

Country: Netherlands

Shareholders

Primary Security ID: N7637U112

Meeting Type: Extraordinary

Primary CUSIP: N7637U112

Primary ISIN: NL0000009538

Ticker: PHIA

Primary SEDOL: 5986622

Shares Voted: 192,188

Proposal Mgmt Vote Against Number Proposal Text Proponent Instruction Mgmt Rec Extraordinary Meeting Agenda Mgmt 1 Elect R.W.O. Jakobs as President / Mgmt For For No Chief Executive Officer and Member of the Management Board

### **Abcam Plc**

Meeting Date: 11/11/2022 **Record Date:** 11/09/2022 Primary Security ID: G0060R118 Country: United Kingdom Meeting Type: Special Primary CUSIP: G0060R118

Ticker: ABC

**Primary ISIN:** GB00B6774699

Primary SEDOL: B677469

Shares Voted: 295,019

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1                  | Approve Cancellation of Admission of<br>Company's Ordinary Shares to Trading<br>on AIM | Mgmt      | For         | For                 | No                      |

# **Lumentum Holdings Inc.**

**Meeting Date:** 11/16/2022 **Record Date:** 09/21/2022

Country: USA

Meeting Type: Annual

Primary Security ID: 55024U109 Primary CUSIP: 55024U109

Ticker: LITE

Primary ISIN: US55024U1097

Primary SEDOL: BYM9ZP2

Shares Voted: 120,940

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Penelope A. Herscher                               | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Harold L. Covert                                   | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Isaac H. Harris                                    | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Julia S. Johnson                                   | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Brian J. Lillie                                    | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Alan S. Lowe                                       | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Ian S. Small                                       | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Janet S. Wong                                      | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Amend Omnibus Stock Plan  | Mgmt      | For         | For                 | No                      |
| 4                  | Ratify Deloitte & Touche LLP as<br>Auditors                       | Mgmt      | For         | For                 | No                      |

# **Chr. Hansen Holding A/S**

**Meeting Date:** 11/23/2022 **Record Date:** 11/16/2022

Primary Security ID: K1830B107

**Country:** Denmark **Meeting Type:** Annual

Primary CUSIP: K1830B107

Ticker: CHR

Primary ISIN: DK0060227585

Primary SEDOL: B573M11

Shares Voted: 79,414

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |  |
|--------------------|--|-----------|-------------|---------------------|-------------------------|--|
| 1                  | Receive Board Report                                 | Mgmt      |             |                     |                         |  |
| 2                  | Accept Financial Statements and<br>Statutory Reports | Mgmt      | For         | For                 | No                      |  |

# **Chr. Hansen Holding A/S**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 3                  | Approve Allocation of Income and Dividends of DKK 7.04 Per Share   | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Remuneration of Directors in<br>the Amount of DKK 1.3 Million for<br>Chair, DKK 840,000 for Vice-Chair and<br>DKK 420,000 for Other Directors;<br>Approve Remuneration for Committee<br>Work | Mgmt      | For         | For                 | No                      |
| 6.a                | Approve Creation of 10 Percent of Pool of Capital without Preemptive Rights  | Mgmt      | For         | For                 | No                      |
| 6.b                | Authorize Share Repurchase Program   | Mgmt      | For         | For                 | No                      |
| 6.c                | Amend Articles Re: Board of Directors  | Mgmt      | For         | For                 | No                      |
| 7a                 | Reelect Dominique Reiniche (Chair) as<br>Director  | Mgmt      | For         | For                 | No                      |
| 7b.a               | Reelect Jesper Brandgaard as Director  | Mgmt      | For         | For                 | No                      |
| 7b.b               | Reelect Luis Cantarell as Director   | Mgmt      | For         | For                 | No                      |
| 7b.c               | Reelect Lise Kaae as Director  | Mgmt      | For         | For                 | No                      |
| 7b.d               | Reelect Heidi Kleinbach-Sauter as<br>Director  | Mgmt      | For         | For                 | No                      |
| 7b.e               | Reelect Kevin Lane as Director   | Mgmt      | For         | For                 | No                      |
| 8                  | Reelect PricewaterhouseCoopers as<br>Auditor   | Mgmt      | For         | For                 | No                      |
| 9                  | Authorize Editorial Changes to<br>Adopted Resolutions in Connection<br>with Registration with Danish<br>Authorities  | Mgmt      | For         | For                 | No                      |

# **Microsoft Corporation**

Meeting Date: 12/13/2022 **Record Date:** 10/12/2022

Country: USA

Meeting Type: Annual

Primary Security ID: 594918104

**Primary CUSIP:** 594918104

Ticker: MSFT

**Primary ISIN:** US5949181045

Primary SEDOL: 2588173

Shares Voted: 36,947

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|------------------------------------|-----------|-------------|---------------------|-------------------------|
| 1.1                | Elect Director Reid G. Hoffman     | Mgmt      | For         | For                 | No                      |
| 1.2                | Elect Director Hugh F. Johnston    | Mgmt      | For         | For                 | No                      |
| 1.3                | Elect Director Teri L. List        | Mgmt      | For         | For                 | No                      |
| 1.4                | Elect Director Satya Nadella       | Mgmt      | For         | For                 | No                      |
| 1.5                | Elect Director Sandra E. Peterson  | Mgmt      | For         | For                 | No                      |
| 1.6                | Elect Director Penny S. Pritzker   | Mgmt      | For         | For                 | No                      |
| 1.7                | Elect Director Carlos A. Rodriguez | Mgmt      | For         | For                 | No                      |

# **Microsoft Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1.8                | Elect Director Charles W. Scharf   | Mgmt      | For         | For                 | No                      |
| 1.9                | Elect Director John W. Stanton   | Mgmt      | For         | For                 | No                      |
| 1.10               | Elect Director John W. Thompson  | Mgmt      | For         | For                 | No                      |
| 1.11               | Elect Director Emma N. Walmsley  | Mgmt      | For         | For                 | No                      |
| 1.12               | Elect Director Padmasree Warrior   | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation                              | Mgmt      | For         | For                 | No                      |
| 3                  | Ratify Deloitte & Touche LLP as<br>Auditors  | Mgmt      | For         | For                 | No                      |
| 4                  | Report on Cost/Benefit Analysis of<br>Diversity and Inclusion                                  | SH        | Against     | Against             | No                      |
| 5                  | Report on Hiring of Persons with<br>Arrest or Incarceration Records                            | SH        | Against     | Against             | No                      |
| 6                  | Assess and Report on the Company's<br>Retirement Funds' Management of<br>Systemic Climate Risk | SH        | Against     | Against             | No                      |
| 7                  | Report on Government Use of<br>Microsoft Technology  | SH        | Against     | Against             | No                      |
| 8                  | Report on Development of Products for Military   | SH        | Against     | Against             | No                      |
| 9                  | Report on Tax Transparency   | SH        | Against     | Against             | No                      |

## **Intuit Inc.**

Meeting Date: 01/19/2023
Record Date: 11/21/2022

**Record Date:** 11/21/2022 **Primary Security ID:** 461202103

Country: USA

Meeting Type: Annual

Primary CUSIP: 461202103

Ticker: INTU

Primary ISIN: US4612021034

Shares Voted: 11,904

Primary SEDOL: 2459020

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Eve Burton   | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Scott D. Cook                                      | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Richard L. Dalzell                                 | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Sasan K. Goodarzi                                  | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Deborah Liu  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Tekedra Mawakana                                   | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Suzanne Nora Johnson                               | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Thomas Szkutak                                     | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Raul Vazquez                                       | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |

### **Intuit Inc.**

| Proposal<br>Number | Proposal Text                                   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 3                  | Ratify Ernst & Young LLP as Auditors            | Mgmt      | For         | For                 | No                      |
| 4                  | Amend Qualified Employee Stock<br>Purchase Plan | Mgmt      | For         | For                 | No                      |

# Koninklijke DSM NV

**Meeting Date:** 01/23/2023 **Record Date:** 12/26/2022

Country: Netherlands

**Meeting Type:** Extraordinary

Shareholders

Primary Security ID: N5017D122

Primary CUSIP: N5017D122

Ticker: DSM

**Primary ISIN:** NL0000009827

Primary SEDOL: B0HZL93

Shares Voted: 41,081

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
|                    | Extraordinary Meeting Agenda   | Mgmt      |             |                     |                         |
| 1                  | Open Meeting   | Mgmt      |             |                     |                         |
| 2                  | Presentation on the Transaction  | Mgmt      |             |                     |                         |
| 3                  | Approve Transaction, the Exchange<br>Offer, Conditional Statutory Triangular<br>Merger and Authorize Managing Board<br>to Repurchase the DSM Preference<br>Shares A and Conditional Cancellation<br>of the DSM Preference Shares A | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Discharge of Management<br>Board   | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Discharge of Supervisory<br>Board  | Mgmt      | For         | For                 | No                      |
| 6                  | Close Meeting  | Mgmt      |             |                     |                         |

## **Becton, Dickinson and Company**

**Meeting Date:** 01/24/2023

Country: USA

**Record Date:** 12/05/2022

Primary Security ID: 075887109

Meeting Type: Annual

riccing Type: Aimai

**Primary CUSIP:** 075887109

Ticker: BDX

Primary ISIN: US0758871091

Primary SEDOL: 2087807

Shares Voted: 31,756

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|-------------------------------------|-----------|-------------|---------------------|-------------------------|
| 1.1                | Elect Director William M. Brown     | Mgmt      | For         | For                 | No                      |
| 1.2                | Elect Director Catherine M. Burzik  | Mgmt      | For         | For                 | No                      |
| 1.3                | Elect Director Carrie L. Byington   | Mgmt      | For         | For                 | No                      |
| 1.4                | Elect Director R. Andrew Eckert     | Mgmt      | For         | For                 | No                      |
| 1.5                | Elect Director Claire M. Fraser     | Mgmt      | For         | For                 | No                      |
| 1.6                | Elect Director Jeffrey W. Henderson | Mgmt      | For         | For                 | No                      |

# **Becton, Dickinson and Company**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1.7                | Elect Director Christopher Jones   | Mgmt      | For         | For                 | No                      |
| 1.8                | Elect Director Marshall O. Larsen  | Mgmt      | For         | For                 | No                      |
| 1.9                | Elect Director Thomas E. Polen   | Mgmt      | For         | For                 | No                      |
| 1.10               | Elect Director Timothy M. Ring   | Mgmt      | For         | For                 | No                      |
| 1.11               | Elect Director Bertram L. Scott  | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Ernst & Young LLP as Auditors                                     | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation        | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote on Say on Pay<br>Frequency                                 | Mgmt      | One Year    | One Year            | No                      |
| 5                  | Amend Omnibus Stock Plan   | Mgmt      | For         | For                 | No                      |
| 6                  | Submit Severance Agreement<br>(Change-in-Control) to Shareholder<br>Vote | SH        | Against     | For                 | Yes                     |

### Visa Inc.

**Meeting Date:** 01/24/2023 **Record Date:** 11/25/2022

Country: USA

Meeting Type: Annual

Primary Security ID: 92826C839

Primary CUSIP: 92826C839

Ticker: V

Primary ISIN: US92826C8394

Primary SEDOL: B2PZN04

Shares Voted: 40,386

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Lloyd A. Carney                                    | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Kermit R. Crawford                                 | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Francisco Javier<br>Fernandez-Carbajal             | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Alfred F. Kelly, Jr.                               | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Ramon Laguarta                                     | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Teri L. List                                       | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director John F. Lundgren                                   | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Denise M. Morrison                                 | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Linda J. Rendle                                    | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Maynard G. Webb, Jr.                               | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify KPMG LLP as Auditors                                       | Mgmt      | For         | For                 | No                      |
| 5                  | Require Independent Board Chair                                   | SH        | Against     | Against             | No                      |

### **Accenture Plc**

Meeting Date: 02/01/2023 Record Date: 12/06/2022

Primary Security ID: G1151C101

Country: Ireland
Meeting Type: Annual

Primary CUSIP: G1151C101

Ticker: ACN

Primary ISIN: IE00B4BNMY34

Primary SEDOL: B4BNMY3

Shares Voted: 21,873

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Jaime Ardila  | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Nancy McKinstry   | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Beth E. Mooney  | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Gilles C. Pelisson  | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Paula A. Price  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Venkata (Murthy)<br>Renduchintala                           | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Arun Sarin  | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Julie Sweet   | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Tracey T. Travis  | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation          | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                                   | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For         | For                 | No                      |
| 5                  | Renew the Board's Authority to Issue<br>Shares Under Irish Law             | Mgmt      | For         | For                 | No                      |
| 6                  | Authorize Board to Opt-Out of Statutory Pre-Emption Rights                 | Mgmt      | For         | For                 | No                      |
| 7                  | Determine Price Range for Reissuance of Treasury Shares                    | Mgmt      | For         | For                 | No                      |

# **Infineon Technologies AG**

**Meeting Date:** 02/16/2023 **Record Date:** 02/09/2023

Primary Security ID: D35415104

Country: Germany
Meeting Type: Annual
Primary CUSTR: D3541

Primary CUSIP: D35415104

Ticker: IFX

Primary ISIN: DE0006231004 Primary SEDOL: 5889505

Shares Voted: 208,821

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1                  | Receive Financial Statements and<br>Statutory Reports for Fiscal Year 2022<br>(Non-Voting) | Mgmt      |             |                     |                         |
| 2                  | Approve Allocation of Income and Dividends of EUR 0.32 per Share                           | Mgmt      | For         | For                 | No                      |

# **Infineon Technologies AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 3.1                | Approve Discharge of Management<br>Board Member Jochen Hanebeck for<br>Fiscal Year 2022                       | Mgmt      | For         | For                 | No                      |
| 3.2                | Approve Discharge of Management<br>Board Member Constanze Hufenbecher<br>for Fiscal Year 2022                 | Mgmt      | For         | For                 | No                      |
| 3.3                | Approve Discharge of Management<br>Board Member Sven Schneider for<br>Fiscal Year 2022                        | Mgmt      | For         | For                 | No                      |
| 3.4                | Approve Discharge of Management<br>Board Member Andreas Urschitz (from<br>June 1, 2022) for Fiscal Year 2022  | Mgmt      | For         | For                 | No                      |
| 3.5                | Approve Discharge of Management<br>Board Member Rutger Wijburg (from<br>April 1, 2022) for Fiscal Year 2022   | Mgmt      | For         | For                 | No                      |
| 3.6                | Approve Discharge of Management<br>Board Member Reinhard Ploss (until<br>March 31, 2022) for Fiscal Year 2022 | Mgmt      | For         | For                 | No                      |
| 3.7                | Approve Discharge of Management<br>Board Member Helmut Gassel (until<br>May 31, 2022) for Fiscal Year 2022    | Mgmt      | For         | For                 | No                      |
| 4.1                | Approve Discharge of Supervisory<br>Board Member Wolfgang Eder for<br>Fiscal Year 2022                        | Mgmt      | For         | For                 | No                      |
| 4.2                | Approve Discharge of Supervisory<br>Board Member Xiaoqun Clever for<br>Fiscal Year 2022                       | Mgmt      | For         | For                 | No                      |
| 4.3                | Approve Discharge of Supervisory<br>Board Member Johann Dechant for<br>Fiscal Year 2022                       | Mgmt      | For         | For                 | No                      |
| 4.4                | Approve Discharge of Supervisory<br>Board Member Friedrich Eichiner for<br>Fiscal Year 2022                   | Mgmt      | For         | For                 | No                      |
| 4.5                | Approve Discharge of Supervisory<br>Board Member Annette Engelfried for<br>Fiscal Year 2022                   | Mgmt      | For         | For                 | No                      |
| 4.6                | Approve Discharge of Supervisory<br>Board Member Peter Gruber for Fiscal<br>Year 2022                         | Mgmt      | For         | For                 | No                      |
| 4.7                | Approve Discharge of Supervisory<br>Board Member Hans-Ulrich Holdenried<br>for Fiscal Year 2022               | Mgmt      | For         | For                 | No                      |
| 4.8                | Approve Discharge of Supervisory<br>Board Member Susanne Lachenmann<br>for Fiscal Year 2022                   | Mgmt      | For         | For                 | No                      |
| 4.9                | Approve Discharge of Supervisory<br>Board Member Geraldine Picaud for<br>Fiscal Year 2022                     | Mgmt      | For         | For                 | No                      |
| 4.10               | Approve Discharge of Supervisory<br>Board Member Manfred Puffer for<br>Fiscal Year 2022                       | Mgmt      | For         | For                 | No                      |
| 4.11               | Approve Discharge of Supervisory<br>Board Member Melanie Riedl for Fiscal<br>Year 2022                        | Mgmt      | For         | For                 | No                      |

# **Infineon Technologies AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 4.12               | Approve Discharge of Supervisory<br>Board Member Juergen Scholz for<br>Fiscal Year 2022   | Mgmt      | For         | For                 | No                      |
| 4.13               | Approve Discharge of Supervisory<br>Board Member Ulrich Spiesshofer for<br>Fiscal Year 2022   | Mgmt      | For         | For                 | No                      |
| 4.14               | Approve Discharge of Supervisory<br>Board Member Margret Suckale for<br>Fiscal Year 2022  | Mgmt      | For         | For                 | No                      |
| 4.15               | Approve Discharge of Supervisory<br>Board Member Mirco Synde (from June<br>1, 2023) for Fiscal Year 2022  | Mgmt      | For         | For                 | No                      |
| 4.16               | Approve Discharge of Supervisory<br>Board Member Diana Vitale for Fiscal<br>Year 2022   | Mgmt      | For         | For                 | No                      |
| 4.17               | Approve Discharge of Supervisory<br>Board Member Kerstin Schulzendorf<br>(until May 31, 2022) for Fiscal Year<br>2022                           | Mgmt      | For         | For                 | No                      |
| 5                  | Ratify KPMG AG as Auditors for Fiscal<br>Year 2023 and for the Review of<br>Interim Financial Reports for the First<br>Half of Fiscal Year 2023 | Mgmt      | For         | For                 | No                      |
| 6.1                | Elect Herbert Diess to the Supervisory Board  | Mgmt      | For         | For                 | No                      |
| 6.2                | Elect Klaus Helmrich to the<br>Supervisory Board  | Mgmt      | For         | For                 | No                      |
| 7                  | Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares   | Mgmt      | For         | For                 | No                      |
| 8                  | Authorize Use of Financial Derivatives when Repurchasing Shares   | Mgmt      | For         | For                 | No                      |
| 9.1                | Amend Article Re: Location of Annual Meeting  | Mgmt      | For         | For                 | No                      |
| 9.2                | Approve Virtual-Only Shareholder<br>Meetings Until 2028   | Mgmt      | For         | For                 | No                      |
| 9.3                | Amend Articles Re: Participation of<br>Supervisory Board Members in the<br>Annual General Meeting by Means of<br>Audio and Video Transmission   | Mgmt      | For         | For                 | No                      |
| 10                 | Approve Remuneration Policy   | Mgmt      | For         | For                 | No                      |
| 11                 | Approve Remuneration Report   | Mgmt      | For         | For                 | No                      |

# **Deere & Company**

Meeting Date: 02/22/2023 Country: USA Ticker: DE

Record Date: 12/27/2022 Meeting Type: Annual

 Primary Security ID: 244199105
 Primary CUSIP: 244199105
 Primary ISIN: US2441991054
 Primary SEDOL: 2261203

Shares Voted: 26,937

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Leanne G. Caret   | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Tamra A. Erwin  | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Alan C. Heuberger   | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Charles O. Holliday, Jr.                                  | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Michael O. Johanns  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Clayton M. Jones  | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director John C. May   | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Gregory R. Page   | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Sherry M. Smith   | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Dmitri L. Stockton  | Mgmt      | For         | For                 | No                      |
| 1k                 | Elect Director Sheila G. Talton  | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation        | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                                 | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify Deloitte & Touche LLP as<br>Auditors                              | Mgmt      | For         | For                 | No                      |
| 5                  | Submit Severance Agreement<br>(Change-in-Control) to Shareholder<br>Vote | SH        | Against     | For                 | Yes                     |

# Tetra Tech, Inc.

Meeting Date: 02/28/2023 **Record Date:** 01/03/2023

Country: USA

Meeting Type: Annual

**Primary Security ID:** 88162G103

Primary CUSIP: 88162G103

Ticker: TTEK

Primary ISIN: US88162G1031

Primary SEDOL: 2883890

Shares Voted: 51,334

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|-------------------------------------|-----------|-------------|---------------------|-------------------------|
| 1A                 | Elect Director Dan L. Batrack       | Mgmt      | For         | For                 | No                      |
| 1B                 | Elect Director Gary R. Birkenbeuel  | Mgmt      | For         | For                 | No                      |
| 1C                 | Elect Director Prashant Gandhi      | Mgmt      | For         | For                 | No                      |
| 1D                 | Elect Director Joanne M. Maguire    | Mgmt      | For         | For                 | No                      |
| 1E                 | Elect Director Christiana Obiaya    | Mgmt      | For         | For                 | No                      |
| 1F                 | Elect Director Kimberly E. Ritrievi | Mgmt      | For         | For                 | No                      |
| 1G                 | Elect Director J. Kenneth Thompson  | Mgmt      | For         | For                 | No                      |
| 1H                 | Elect Director Kirsten M. Volpi     | Mgmt      | For         | For                 | No                      |

# Tetra Tech, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |

# Orsted A/S

**Meeting Date:** 03/07/2023 **Record Date:** 02/28/2023

**Country:** Denmark **Meeting Type:** Annual

nmark **Ticker:** ORSTED

Primary Security ID: K7653Q105

Primary CUSIP: K7653Q105

Primary ISIN: DK0060094928 Primary SEDOL: BYT16L4

Shares Voted: 66,870

|                    |  |           | ·           |                     |                         |  |
|--------------------|--|-----------|-------------|---------------------|-------------------------|--|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |  |
| 1                  | Receive Report of Board  | Mgmt      |             |                     |                         |  |
| 2                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For         | For                 | No                      |  |
| 3                  | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For         | For                 | No                      |  |
| 4                  | Approve Discharge of Management and Board  | Mgmt      | For         | For                 | No                      |  |
| 5                  | Approve Allocation of Income and Dividends of DKK 13.5 Per Share   | Mgmt      | For         | For                 | No                      |  |
| 6.1                | Fix Number of Directors at Eight   | Mgmt      | For         | For                 | No                      |  |
| 6.2                | Reelect Thomas Thune Andersen (Chair) as Director  | Mgmt      | For         | For                 | No                      |  |
| 6.3                | Reelect Lene Skole (Vice Chair) as Director  | Mgmt      | For         | For                 | No                      |  |
| 6.4a               | Reelect Jorgen Kildah as Director  | Mgmt      | For         | For                 | No                      |  |
| 6.4b               | Reelect Peter Korsholm as Director   | Mgmt      | For         | For                 | No                      |  |
| 6.4c               | Reelect Dieter Wimmer as Director  | Mgmt      | For         | For                 | No                      |  |
| 6.4d               | Reelect Julia King as Director   | Mgmt      | For         | For                 | No                      |  |
| 6.4e               | Elect Annica Bresky as New Director  | Mgmt      | For         | For                 | No                      |  |
| 6.4f               | Elect Andrew Brown as New Director   | Mgmt      | For         | For                 | No                      |  |
| 7                  | Approve Remuneration of Directors in<br>the Amount of DKK 1.2 Million for<br>Chairman, DKK 800,000 for Deputy<br>Chairman and DKK 400,000 for Other<br>Directors; Approve Remuneration for<br>Committee Work | Mgmt      | For         | For                 | No                      |  |
| 8                  | Ratify PricewaterhouseCoopers as<br>Auditor  | Mgmt      | For         | For                 | No                      |  |

### **Orsted A/S**

| Number Proposa |  | Proponent | Rec | Instruction | Mgmt |
|----------------|--|-----------|-----|-------------|------|
| Adopted        | d Resolutions in Connection<br>egistration with Danish | Mgmt      | For | For         | No   |
| 10 Other B     | Business M   | Mgmt      |     |             |      |

# **Keysight Technologies, Inc.**

Meeting Date: 03/16/2023 Record Date: 01/17/2023 Primary Security ID: 49338L103 Country: USA
Meeting Type: Annual
Primary CUSIP: 49338L103

Ticker: KEYS

Primary ISIN: US49338L1035

Primary SEDOL: BQZJ0Q9

Shares Voted: 30,150

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |  |
|--------------------|---|-----------|-------------|---------------------|-------------------------|--|
| 1.1                | Elect Director Satish C. Dhanasekaran                             | Mgmt      | For         | For                 | No                      |  |
| 1.2                | Elect Director Richard P. Hamada                                  | Mgmt      | For         | For                 | No                      |  |
| 1.3                | Elect Director Paul A. Lacouture                                  | Mgmt      | For         | For                 | No                      |  |
| 1.4                | Elect Director Kevin A. Stephens                                  | Mgmt      | For         | For                 | No                      |  |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |  |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |  |
| 4                  | Declassify the Board of Directors                                 | Mgmt      | For         | For                 | No                      |  |

## **Chr. Hansen Holding A/S**

Meeting Date: 03/30/2023

**Record Date:** 03/23/2023

Country: Denmark

Meeting Type: Extraordinary

Shareholders

Primary Security ID: K1830B107

Primary CUSIP: K1830B107 Primary ISIN: DK0060227585

Ticker: CHR

**SIN:** DK0060227585 **Primary SEDOL:** B573M11

Shares Voted: 88,520

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instructi | Vote<br>Against<br>on Mgmt |  |
|--------------------|---|-----------|-------------|-------------------|----------------------------|--|
| 1                  | Approve Merger Agreement with Novozymes A/S   | Mgmt      | For         | Against           | Yes                        |  |
| 2                  | Approve Indemnification of Members of the Board of Directors and Executive Management | Mgmt      | For         | For               | No                         |  |
| 3                  | Change Fiscal Year End to Dec. 31   | Mgmt      | For         | For               | No                         |  |
| 4                  | Approve Remuneration of Directors for FY 2022/23                                      | Mgmt      | For         | For               | No                         |  |

# Chr. Hansen Holding A/S

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 5                  | Authorize Editorial Changes to<br>Adopted Resolutions in Connection<br>with Registration with Danish<br>Authorities | Mgmt      | For         | For                 | No                      |

### **Adobe Inc.**

**Meeting Date:** 04/20/2023 **Record Date:** 02/21/2023

**Primary Security ID:** 00724F101

Country: USA
Meeting Type: Annual
Primary CUSIP: 00724F101

Ticker: ADBE

Primary ISIN: US00724F1012

Primary SEDOL: 2008154

|                    |   |           |             | Shares Voted: 18,151 |                         |
|--------------------|---|-----------|-------------|----------------------|-------------------------|
| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction  | Vote<br>Against<br>Mgmt |
| 1a                 | Elect Director Amy Banse  | Mgmt      | For         | For                  | No                      |
| 1b                 | Elect Director Brett Biggs  | Mgmt      | For         | For                  | No                      |
| 1c                 | Elect Director Melanie Boulden                                      | Mgmt      | For         | For                  | No                      |
| 1d                 | Elect Director Frank Calderoni                                      | Mgmt      | For         | For                  | No                      |
| 1e                 | Elect Director Laura Desmond  | Mgmt      | For         | For                  | No                      |
| 1f                 | Elect Director Shantanu Narayen                                     | Mgmt      | For         | For                  | No                      |
| 1g                 | Elect Director Spencer Neumann                                      | Mgmt      | For         | For                  | No                      |
| 1h                 | Elect Director Kathleen Oberg                                       | Mgmt      | For         | For                  | No                      |
| <b>1</b> i         | Elect Director Dheeraj Pandey                                       | Mgmt      | For         | For                  | No                      |
| 1j                 | Elect Director David Ricks  | Mgmt      | For         | For                  | No                      |
| 1k                 | Elect Director Daniel Rosensweig                                    | Mgmt      | For         | For                  | No                      |
| 11                 | Elect Director John Warnock   | Mgmt      | For         | For                  | No                      |
| 2                  | Amend Omnibus Stock Plan  | Mgmt      | For         | For                  | No                      |
| 3                  | Ratify KPMG LLP as Auditors   | Mgmt      | For         | For                  | No                      |
| 4                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation   | Mgmt      | For         | For                  | No                      |
| 5                  | Advisory Vote on Say on Pay<br>Frequency                            | Mgmt      | One Year    | One Year             | No                      |
| 6                  | Report on Hiring of Persons with<br>Arrest or Incarceration Records | SH        | Against     | Against              | No                      |

### **Haleon Plc**

Meeting Date: 04/20/2023 Record Date: 04/18/2023 Primary Security ID: G4232K100 Country: United Kingdom Meeting Type: Annual Primary CUSIP: G4232K100 Ticker: HLN

Primary ISIN: GB00BMX86B70

Primary SEDOL: BMX86B7

**Shares Voted:** 1,925,020

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1                  | Accept Financial Statements and Statutory Reports   | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Remuneration Report   | Mgmt      | For         | For                 | No                      |
| 3                  | Approve Remuneration Policy   | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Final Dividend  | Mgmt      | For         | For                 | No                      |
| 5                  | Elect Sir Dave Lewis as Director  | Mgmt      | For         | For                 | No                      |
| 6                  | Elect Brian McNamara as Director  | Mgmt      | For         | For                 | No                      |
| 7                  | Elect Tobias Hestler as Director  | Mgmt      | For         | For                 | No                      |
| 8                  | Elect Vindi Banga as Director   | Mgmt      | For         | For                 | No                      |
| 9                  | Elect Marie-Anne Aymerich as Director   | Mgmt      | For         | For                 | No                      |
| 10                 | Elect Tracy Clarke as Director  | Mgmt      | For         | For                 | No                      |
| 11                 | Elect Dame Vivienne Cox as Director   | Mgmt      | For         | For                 | No                      |
| 12                 | Elect Asmita Dubey as Director  | Mgmt      | For         | For                 | No                      |
| 13                 | Elect Deirdre Mahlan as Director  | Mgmt      | For         | For                 | No                      |
| 14                 | Elect David Denton as Director  | Mgmt      | For         | For                 | No                      |
| 15                 | Elect Bryan Supran as Director  | Mgmt      | For         | For                 | No                      |
| 16                 | Appoint KPMG LLP as Auditors  | Mgmt      | For         | For                 | No                      |
| 17                 | Authorise the Audit & Risk Committee to Fix Remuneration of Auditors  | Mgmt      | For         | For                 | No                      |
| 18                 | Authorise UK Political Donations and<br>Expenditure   | Mgmt      | For         | For                 | No                      |
| 19                 | Authorise Issue of Equity   | Mgmt      | For         | For                 | No                      |
| 20                 | Authorise Issue of Equity without<br>Pre-emptive Rights   | Mgmt      | For         | For                 | No                      |
| 21                 | Authorise Issue of Equity without<br>Pre-emptive Rights in Connection with<br>an Acquisition or Other Capital<br>Investment | Mgmt      | For         | For                 | No                      |
| 22                 | Authorise the Company to Call General<br>Meeting with Two Weeks' Notice   | Mgmt      | For         | For                 | No                      |
| 23                 | Approve Performance Share Plan  | Mgmt      | For         | For                 | No                      |
| 24                 | Approve Share Value Plan  | Mgmt      | For         | For                 | No                      |
| 25                 | Approve Deferred Annual Bonus Plan  | Mgmt      | For         | For                 | No                      |
| 26                 | Authorise Market Purchase of Ordinary<br>Shares   | Mgmt      | For         | For                 | No                      |
| 27                 | Authorise Off-Market Purchase of<br>Ordinary Shares from Pfizer   | Mgmt      | For         | For                 | No                      |
| 28                 | Authorise Off-Market Purchase of<br>Ordinary Shares from GSK<br>Shareholders  | Mgmt      | For         | For                 | No                      |

### **Haleon Plc**

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | vote<br>Against<br>Mgmt |
|--------------------|---------------------------------|-----------|-------------|---------------------|-------------------------|
| 29                 | Approve Waiver of Rule 9 of the | Mgmt      | For         | For                 | No                      |

## **Bio-Rad Laboratories, Inc.**

**Meeting Date:** 04/25/2023 **Record Date:** 02/24/2023

Country: USA

Primary Security ID: 090572207

Meeting Type: Annual

**Primary CUSIP:** 090572207

Ticker: BIO

**Primary ISIN:** US0905722072

Primary SEDOL: 2098508

Shares Voted: 14,567

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec    | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|----------------|---------------------|-------------------------|
| 1.1                | Elect Director Melinda Litherland                                 | Mgmt      | For            | Against             | Yes                     |
| 1.2                | Elect Director Arnold A. Pinkston                                 | Mgmt      | For            | For                 | No                      |
| 2                  | Ratify KPMG LLP as Auditors                                       | Mgmt      | For            | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For            | For                 | No                      |
| 4                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | Three<br>Years | One Year            | Yes                     |
| 5                  | Report on Political Contributions and<br>Expenditures             | SH        | Against        | For                 | Yes                     |

### **MSCI Inc.**

Meeting Date: 04/25/2023 **Record Date:** 03/01/2023

Country: USA

Meeting Type: Annual

**Primary Security ID:** 55354G100

Primary CUSIP: 55354G100

Ticker: MSCI

Primary ISIN: US55354G1004

Primary SEDOL: B2972D2

Shares Voted: 21,505

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|------------------------------------|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Henry A. Fernandez  | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Robert G. Ashe      | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Wayne Edmunds       | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Catherine R. Kinney | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Robin L. Matlock    | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Jacques P. Perold   | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director C.D. Baer Pettit    | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Sandy C. Rattray    | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Linda H. Riefler    | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Marcus L. Smith     | Mgmt      | For         | For                 | No                      |

### **MSCI Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-----------------|
| 1k                 | Elect Director Rajat Taneja                                       | Mgmt      | For         | For                 | No              |
| 11                 | Elect Director Paula Volent                                       | Mgmt      | For         | For                 | No              |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No              |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No              |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No              |

# **West Pharmaceutical Services, Inc.**

Meeting Date: 04/25/2023 **Record Date:** 03/03/2023

Country: USA

Meeting Type: Annual

Primary Security ID: 955306105

**Primary CUSIP:** 955306105

Ticker: WST

**Primary ISIN:** US9553061055

Primary SEDOL: 2950482

Shares Voted: 23,910

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Mark A. Buthman                                    | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director William F. Feehery                                 | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Robert F. Friel                                    | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Eric M. Green                                      | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Thomas W. Hofmann                                  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Molly E. Joseph                                    | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Deborah L. V. Keller                               | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Myla P. Lai-Goldman                                | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Stephen H. Lockhart                                | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Douglas A. Michels                                 | Mgmt      | For         | For                 | No                      |
| 1k                 | Elect Director Paolo Pucci  | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 5                  | Amend Bylaws  | SH        | Against     | Against             | No                      |

## **Aptiv PLC**

Meeting Date: 04/26/2023 **Record Date:** 03/02/2023

Primary Security ID: G6095L109

Country: Jersey

Meeting Type: Annual

Primary CUSIP: G6095L109

Ticker: APTV

Primary ISIN: JE00B783TY65

Primary SEDOL: B783TY6

Shares Voted: 55,870

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Kevin P. Clark                                     | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Richard L. (Rick)<br>Clemmer                       | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Nancy E. Cooper                                    | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Joseph L. (Jay) Hooley                             | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Merit E. Janow                                     | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Sean O. Mahoney                                    | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Paul M. Meister                                    | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Robert K. (Kelly) Ortberg                          | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Colin J. Parris                                    | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Ana G. Pinczuk                                     | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |

# **ASML Holding NV**

**Primary Security ID:** N07059202

Meeting Date: 04/26/2023 Record Date: 03/29/2023 **Country:** Netherlands **Meeting Type:** Annual

Primary CUSIP: N07059202

Ticker: ASML

Primary ISIN: NL0010273215

**Primary SEDOL:** B929F46 **Shares Voted:** 12,447

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
|                    | Annual Meeting Agenda   | Mgmt      |             |                     |                         |
| 1                  | Open Meeting  | Mgmt      |             |                     |                         |
| 2                  | Discuss the Company's Business,<br>Financial Situation and Sustainability | Mgmt      |             |                     |                         |
| 3a                 | Approve Remuneration Report   | Mgmt      | For         | For                 | No                      |
| 3b                 | Adopt Financial Statements and<br>Statutory Reports                       | Mgmt      | For         | For                 | No                      |
| 3c                 | Receive Explanation on Company's<br>Reserves and Dividend Policy          | Mgmt      |             |                     |                         |
| 3d                 | Approve Dividends   | Mgmt      | For         | For                 | No                      |
| 4a                 | Approve Discharge of Management<br>Board                                  | Mgmt      | For         | For                 | No                      |
| 4b                 | Approve Discharge of Supervisory<br>Board                                 | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Number of Shares for<br>Management Board                          | Mgmt      | For         | For                 | No                      |

# **ASML Holding NV**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 6a                 | Amend Remuneration Policy for the<br>Supervisory Board  | Mgmt      | For         | For                 | No                      |
| 6b                 | Amend Remuneration of the Members of the Supervisory Board  | Mgmt      | For         | For                 | No                      |
| 7                  | Receive Information on the<br>Composition of the Management Board<br>and Announce Intention to Appoint<br>W.R. Allan to Management Board    | Mgmt      |             |                     |                         |
| 8                  | Elect N.S. Andersen to Supervisory<br>Board   | Mgmt      | For         | For                 | No                      |
| 8b                 | Elect J.P. de Kreij to Supervisory Board  | Mgmt      | For         | For                 | No                      |
| 8c                 | Discuss Composition of the<br>Supervisory Board   | Mgmt      |             |                     |                         |
| 9                  | Ratify PricewaterhouseCoopers<br>Accountants N.V. as Auditors   | Mgmt      | For         | For                 | No                      |
| 10a                | Grant Board Authority to Issue Shares<br>Up to 5 Percent of Issued Capital Plus<br>Additional 5 Percent in Case of Merger<br>or Acquisition | Mgmt      | For         | For                 | No                      |
| 10b                | Authorize Board to Exclude Preemptive Rights from Share Issuances   | Mgmt      | For         | For                 | No                      |
| 11                 | Authorize Repurchase of Up to 10<br>Percent of Issued Share Capital   | Mgmt      | For         | For                 | No                      |
| 12                 | Authorize Cancellation of Repurchased Shares  | Mgmt      | For         | For                 | No                      |
| 13                 | Other Business (Non-Voting)   | Mgmt      |             |                     |                         |
| 14                 | Close Meeting   | Mgmt      |             |                     |                         |

# **London Stock Exchange Group Plc**

Meeting Date: 04/27/2023 Record Date: 04/25/2023 Primary Security ID: G5689U103 Country: United Kingdom
Meeting Type: Annual

Ticker: LSEG

Primary CUSIP: G5689U103 Primary ISIN: GB00B0SWJX34

Shares Voted: 117,828

Primary SEDOL: B0SWJX3

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Final Dividend                            | Mgmt      | For         | For                 | No                      |
| 3                  | Approve Remuneration Report                       | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Remuneration Policy                       | Mgmt      | For         | For                 | No                      |
| 5                  | Re-elect Dominic Blakemore as<br>Director         | Mgmt      | For         | Against             | Yes                     |
| 6                  | Re-elect Martin Brand as Director                 | Mgmt      | For         | For                 | No                      |
| 7                  | Re-elect Kathleen DeRose as Director              | Mgmt      | For         | For                 | No                      |

# **London Stock Exchange Group Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 8                  | Re-elect Tsega Gebreyes as Director   | Mgmt      | For         | For                 | No                      |
| 9                  | Re-elect Cressida Hogg as Director  | Mgmt      | For         | For                 | No                      |
| 10                 | Re-elect Anna Manz as Director  | Mgmt      | For         | For                 | No                      |
| 11                 | Re-elect Val Rahmani as Director  | Mgmt      | For         | For                 | No                      |
| 12                 | Re-elect Don Robert as Director   | Mgmt      | For         | For                 | No                      |
| 13                 | Re-elect David Schwimmer as Director  | Mgmt      | For         | For                 | No                      |
| 14                 | Re-elect Douglas Steenland as Director  | Mgmt      | For         | For                 | No                      |
| 15                 | Re-elect Ashok Vaswani as Director  | Mgmt      | For         | For                 | No                      |
| 16                 | Elect Scott Guthrie as Director   | Mgmt      | For         | For                 | No                      |
| 17                 | Elect William Vereker as Director   | Mgmt      | For         | For                 | No                      |
| 18                 | Reappoint Ernst & Young LLP as Auditors   | Mgmt      | For         | For                 | No                      |
| 19                 | Authorise Board to Fix Remuneration of Auditors   | Mgmt      | For         | For                 | No                      |
| 20                 | Authorise Issue of Equity   | Mgmt      | For         | For                 | No                      |
| 21                 | Authorise UK Political Donations and Expenditure  | Mgmt      | For         | For                 | No                      |
| 22                 | Authorise Issue of Equity without<br>Pre-emptive Rights   | Mgmt      | For         | For                 | No                      |
| 23                 | Authorise Issue of Equity without<br>Pre-emptive Rights in Connection with<br>an Acquisition or Other Capital<br>Investment | Mgmt      | For         | For                 | No                      |
| 24                 | Authorise Market Purchase of Ordinary<br>Shares   | Mgmt      | For         | For                 | No                      |
| 25                 | Authorise Off-Market Purchase of<br>Shares from ConsortiumShareholders  | Mgmt      | For         | For                 | No                      |
| 26                 | Authorise the Company to Call General<br>Meeting with Two Weeks' Notice   | Mgmt      | For         | For                 | No                      |
|                    |   |           |             |                     |                         |

# **Tomra Systems ASA**

**Meeting Date:** 04/27/2023 **Record Date:** 04/20/2023

**Primary Security ID:** R91733155

Country: Norway
Meeting Type: Annual

Primary CUSIP: R91733155

Ticker: TOM

**Primary ISIN:** NO0012470089

Primary SEDOL: BPLXRG7

#### Shares Voted: 0

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Vote Against<br>Instruction Mgmt |
|--------------------|---|-----------|-------------|--|
| 1                  | Open Meeting; Registration of<br>Attending Shareholders and Proxies | Mgmt      |             |  |
| 2                  | Elect Chairman of Meeting   | Mgmt      | For         | Do Not No<br>Vote                        |

# **Tomra Systems ASA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | ote<br>nstruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|-------------------|-------------------------|
| 3                  | Designate Inspector(s) of Minutes of Meeting   | Mgmt      | For         | o Not<br>ote      | No                      |
| 4                  | Approve Notice of Meeting and Agenda   | Mgmt      | For         | o Not<br>ote      | No                      |
| 5                  | Receive Management Report on the<br>Status of the Company and Group  | Mgmt      |             |                   |                         |
| 6                  | Accept Financial Statements and<br>Statutory Reports; Approve Allocation<br>of Income and Dividends of NOK 1.80<br>Per Share   | Mgmt      | For         | o Not<br>ote      | No                      |
| 7                  | Approve Remuneration Policy And<br>Other Terms of Employment For<br>Executive Management   | Mgmt      | For         | o Not<br>ote      | No                      |
| 8                  | Discuss Remuneration Statement   | Mgmt      | For         | o Not<br>ote      | No                      |
| 9                  | Discuss Company's Corporate<br>Governance Statement (Not Voting)   | Mgmt      |             |                   |                         |
| 10                 | Approve Remuneration of Directors in<br>the Amount of NOK 1.07 Million for<br>Chair and NOK 563,500 for Other<br>Directors; Approve Remuneration for<br>Committee Work | Mgmt      | For         | o Not<br>ote      | No                      |
| 11                 | Approve Remuneration of Nominating Committee   | Mgmt      | For         | o Not<br>ote      | No                      |
| 12                 | Reelect Johan Hjertonsson (Chair),<br>Bodil Sonesson, Pierre Couderc, Bjorn<br>Matre and Hege Skryseth as Directors  | Mgmt      | For         | o Not<br>ote      | No                      |
| 13                 | Reelect Rune Selmar (Chairman), Hild<br>F. Kinder, Anders Morck and Tine<br>Fossland as Members of Nominating<br>Committee   | Mgmt      | For         | o Not<br>ote      | No                      |
| 14                 | Approve Remuneration of Auditors   | Mgmt      | For         | o Not<br>ote      | No                      |
| 15                 | Authorize Share Repurchase Program and Reissuance of Repurchased Shares for Incentive Plan Funding   | Mgmt      | For         | o Not<br>ote      | No                      |
| 16                 | Approve Creation of NOK 14.8 Million<br>Pool of Capital without Preemptive<br>Rights   | Mgmt      | For         | o Not<br>ote      | No                      |
| 17                 | Amend Articles Re: Record Date   | Mgmt      | For         | o Not<br>ote      | No                      |
| 18                 | Approve Nominating Committee<br>Procedures   | Mgmt      | For         | o Not<br>ote      | No                      |
| 19                 | Approve Board Representation<br>Procedures   | Mgmt      | For         | o Not<br>ote      | No                      |

# **Aflac Incorporated**

Meeting Date: 05/01/2023
Record Date: 02/21/2023
Primary Security ID: 001055102

Country: USA

Meeting Type: Annual

Ticker: AFL

Primary CUSIP: 001055102 Primary ISIN: US0010551028

Primary SEDOL: 2026361

Shares Voted: 128,306

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Daniel P. Amos                                     | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director W. Paul Bowers                                     | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Arthur R. Collins                                  | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Miwako Hosoda                                      | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Thomas J. Kenny                                    | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Georgette D. Kiser                                 | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Karole F. Lloyd                                    | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Nobuchika Mori                                     | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Joseph L. Moskowitz                                | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Barbara K. Rimer                                   | Mgmt      | For         | For                 | No                      |
| 1k                 | Elect Director Katherine T. Rohrer                                | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify KPMG LLP as Auditors                                       | Mgmt      | For         | For                 | No                      |

# **TopBuild Corp.**

Meeting Date: 05/01/2023 Record Date: 03/02/2023 Country: USA

Meeting Type: Annual

Primary Security ID: 89055F103 Primary CUSIP: 89055F103

Ticker: BLD

Primary ISIN: US89055F1030

Shares Voted: 21,851

Primary SEDOL: BZ0P3W2

| Proposal<br>Number | Proposal Text                                    | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Alec C. Covington                 | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Ernesto Bautista, III             | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Robert M. Buck                    | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Joseph S. Cantie                  | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Tina M. Donikowski                | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Deirdre C. Drake                  | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Mark A. Petrarca                  | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Nancy M. Taylor                   | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify PricewaterhouseCoopers LLP as<br>Auditors | Mgmt      | For         | For                 | No                      |

# **TopBuild Corp.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instr | Vote<br>Against<br>uction Mgmt |  |
|--------------------|--|-----------|-------------|---------------|--------------------------------|--|
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For         | For           | No                             |  |

## **Unilever Plc**

**Meeting Date:** 05/03/2023 **Record Date:** 05/01/2023

Country: United Kingdom

Meeting Type: Annual

Primary Security ID: G92087165 Primary CUSIP: G92087165

Ticker: ULVR

Primary ISIN: GB00B10RZP78

Primary SEDOL: B10RZP7

Shares Voted: 167,704

|                    |   |           |             |                     | , |  |  |
|--------------------|---|-----------|-------------|---------------------|---|--|--|
| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt                 |  |  |
| 1                  | Accept Financial Statements and<br>Statutory Reports  | Mgmt      | For         | For                 | No                                      |  |  |
| 2                  | Approve Remuneration Report   | Mgmt      | For         | For                 | No                                      |  |  |
| 3                  | Re-elect Nils Andersen as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 4                  | Re-elect Judith Hartmann as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 5                  | Re-elect Adrian Hennah as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 6                  | Re-elect Alan Jope as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 7                  | Re-elect Andrea Jung as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 8                  | Re-elect Susan Kilsby as Director   | Mgmt      | For         | For                 | No                                      |  |  |
| 9                  | Re-elect Ruby Lu as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 10                 | Re-elect Strive Masiyiwa as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 11                 | Re-elect Youngme Moon as Director   | Mgmt      | For         | For                 | No                                      |  |  |
| 12                 | Re-elect Graeme Pitkethly as Director   | Mgmt      | For         | For                 | No                                      |  |  |
| 13                 | Re-elect Feike Sijbesma as Director   | Mgmt      | For         | For                 | No                                      |  |  |
| 14                 | Elect Nelson Peltz as Director  | Mgmt      | For         | For                 | No                                      |  |  |
| 15                 | Elect Hein Schumacher as Director   | Mgmt      | For         | For                 | No                                      |  |  |
| 16                 | Reappoint KPMG LLP as Auditors  | Mgmt      | For         | For                 | No                                      |  |  |
| 17                 | Authorise Board to Fix Remuneration of Auditors   | Mgmt      | For         | For                 | No                                      |  |  |
| 18                 | Authorise UK Political Donations and<br>Expenditure   | Mgmt      | For         | For                 | No                                      |  |  |
| 19                 | Authorise Issue of Equity   | Mgmt      | For         | For                 | No                                      |  |  |
| 20                 | Authorise Issue of Equity without<br>Pre-emptive Rights   | Mgmt      | For         | For                 | No                                      |  |  |
| 21                 | Authorise Issue of Equity without<br>Pre-emptive Rights in Connection with<br>an Acquisition or Other Capital<br>Investment | Mgmt      | For         | For                 | No                                      |  |  |
| 22                 | Authorise Market Purchase of Ordinary<br>Shares   | Mgmt      | For         | For                 | No                                      |  |  |

### **Unilever Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 23                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For         | For                 | No                      |

# **Hexcel Corporation**

Meeting Date: 05/04/2023

Country: USA

Ticker: HXL

**Record Date:** 03/10/2023

Primary Security ID: 428291108

Meeting Type: Annual

**Primary CUSIP:** 428291108

Primary ISIN: US4282911084

Primary SEDOL: 2416779

Shares Voted: 106,597

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instru | Vote<br>Against<br>ction Mgmt |  |
|--------------------|---|-----------|-------------|----------------|-------------------------------|--|
| 1a                 | Elect Director Nick L. Stanage                                    | Mgmt      | For         | For            | No                            |  |
| 1b                 | Elect Director Jeffrey C. Campbell                                | Mgmt      | For         | For            | No                            |  |
| 1c                 | Elect Director Cynthia M. Egnotovich                              | Mgmt      | For         | For            | No                            |  |
| 1d                 | Elect Director Thomas A. Gendron                                  | Mgmt      | For         | For            | No                            |  |
| 1e                 | Elect Director Jeffrey A. Graves                                  | Mgmt      | For         | For            | No                            |  |
| 1f                 | Elect Director Guy C. Hachey                                      | Mgmt      | For         | For            | No                            |  |
| 1g                 | Elect Director Marilyn L. Minus                                   | Mgmt      | For         | For            | No                            |  |
| 1h                 | Elect Director Catherine A. Suever                                | Mgmt      | For         | For            | No                            |  |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For            | No                            |  |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Y          | ear No                        |  |
| 4                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For         | For            | No                            |  |

### Alcon Inc.

**Record Date:** 

Meeting Date: 05/05/2023

Country: Switzerland

**Primary Security ID:** H01301128

Meeting Type: Annual

Primary CUSIP: H01301128 **Primary ISIN:** CH0432492467

Ticker: ALC

Shares Voted: 85,329

Primary SEDOL: BJT1GR5

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruc | Vote<br>Against<br>tion Mgmt |  |
|--------------------|--|-----------|-------------|-----------------|------------------------------|--|
| 1                  | Accept Financial Statements and<br>Statutory Reports             | Mgmt      | For         | For             | No                           |  |
| 2                  | Approve Discharge of Board and<br>Senior Management              | Mgmt      | For         | For             | No                           |  |
| 3                  | Approve Allocation of Income and Dividends of CHF 0.21 per Share | Mgmt      | For         | For             | No                           |  |
| 4.1                | Approve Remuneration Report (Non-Binding)                        | Mgmt      | For         | For             | No                           |  |

# **Alcon Inc.**

| Alcon The.         |  |           |             |                     | Vote            |
|--------------------|--|-----------|-------------|---------------------|-----------------|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Against<br>Mgmt |
| 4.2                | Approve Remuneration of Directors in the Amount of CHF 3.9 Million   | Mgmt      | For         | For                 | No              |
| 4.3                | Approve Remuneration of Executive<br>Committee in the Amount of CHF 41.9<br>Million  | Mgmt      | For         | For                 | No              |
| 5.1                | Reelect Michael Ball as Director and<br>Board Chair  | Mgmt      | For         | For                 | No              |
| 5.2                | Reelect Lynn Bleil as Director   | Mgmt      | For         | For                 | No              |
| 5.3                | Reelect Raquel Bono as Director  | Mgmt      | For         | For                 | No              |
| 5.4                | Reelect Arthur Cummings as Director  | Mgmt      | For         | For                 | No              |
| 5.5                | Reelect David Endicott as Director   | Mgmt      | For         | For                 | No              |
| 5.6                | Reelect Thomas Glanzmann as<br>Director  | Mgmt      | For         | For                 | No              |
| 5.7                | Reelect Keith Grossman as Director   | Mgmt      | For         | For                 | No              |
| 5.8                | Reelect Scott Maw as Director  | Mgmt      | For         | For                 | No              |
| 5.9                | Reelect Karen May as Director  | Mgmt      | For         | For                 | No              |
| 5.10               | Reelect Ines Poeschel as Director  | Mgmt      | For         | For                 | No              |
| 5.11               | Reelect Dieter Spaelti as Director   | Mgmt      | For         | For                 | No              |
| 6.1                | Reappoint Thomas Glanzmann as<br>Member of the Compensation<br>Committee   | Mgmt      | For         | For                 | No              |
| 6.2                | Reappoint Scott Maw as Member of the Compensation Committee  | Mgmt      | For         | For                 | No              |
| 6.3                | Reappoint Karen May as Member of the Compensation Committee  | Mgmt      | For         | For                 | No              |
| 6.4                | Reappoint Ines Poeschel as Member of the Compensation Committee  | Mgmt      | For         | For                 | No              |
| 7                  | Designate Hartmann Dreyer as<br>Independent Proxy  | Mgmt      | For         | For                 | No              |
| 8                  | Ratify PricewaterhouseCoopers SA as Auditors   | Mgmt      | For         | For                 | No              |
| 9.1                | Approve Creation of Capital Band<br>within the Upper Limit of CHF 22<br>Million and the Lower Limit of CHF 19<br>Million with or without Exclusion of<br>Preemptive Rights | Mgmt      | For         | For                 | No              |
| 9.2                | Approve Creation of CHF 2 Million Pool<br>of Conditional Capital for Financings,<br>Mergers and Acquisitions   | Mgmt      | For         | For                 | No              |
| 9.3                | Amend Articles Re: Conversion of<br>Shares; Subscription Rights  | Mgmt      | For         | For                 | No              |
| 9.4                | Amend Articles Re: General Meetings  | Mgmt      | For         | For                 | No              |
| 9.5                | Amend Articles Re: Board Meetings;<br>Powers of the Board of Directors   | Mgmt      | For         | For                 | No              |
| 9.6                | Amend Articles Re: Compensation;<br>External Mandates for Members of the<br>Board of Directors and Executive<br>Committee  | Mgmt      | For         | For                 | No              |

### Alcon Inc.

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt<br>Rec | Vote<br>Vote Against<br>Instruction Mgmt |
|--------------------|----------------------------------|-----------|-------------|--|
| 10                 | Transact Other Business (Voting) | Mgmt      | For         | Against Yes                              |

# **Danaher Corporation**

Meeting Date: 05/09/2023 Record Date: 03/10/2023

Primary Security ID: 235851102

Country: USA Meeting Type: Annual Primary CUSIP: 235851102

Ticker: DHR

Primary ISIN: US2358511028

Primary SEDOL: 2250870

Shares Voted: 41,209

|                    |  |           |             | Shares votea        | 1/203                   |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
| 1a                 | Elect Director Rainer M. Blair   | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Feroz Dewan   | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Linda Filler  | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Teri List   | Mgmt      | For         | Against             | Yes                     |
| 1e                 | Elect Director Walter G. Lohr, Jr.                                     | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Jessica L. Mega   | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Mitchell P. Rales                                       | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Steven M. Rales   | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Pardis C. Sabeti  | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director A. Shane Sanders  | Mgmt      | For         | Against             | Yes                     |
| 1k                 | Elect Director John T. Schwieters                                      | Mgmt      | For         | Against             | Yes                     |
| 11                 | Elect Director Alan G. Spoon   | Mgmt      | For         | For                 | No                      |
| 1m                 | Elect Director Raymond C. Stevens                                      | Mgmt      | For         | Against             | Yes                     |
| 1n                 | Elect Director Elias A. Zerhouni                                       | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Ernst & Young LLP as Auditors                                   | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation      | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote on Say on Pay<br>Frequency                               | Mgmt      | One Year    | One Year            | No                      |
| 5                  | Require Independent Board Chair  | SH        | Against     | Against             | No                      |
| 6                  | Report on Effectiveness of Diversity,<br>Equity, and Inclusion Efforts | SH        | Against     | Against             | No                      |

# Waste Management, Inc.

Meeting Date: 05/09/2023 Record Date: 03/14/2023

**Primary Security ID:** 94106L109

Country: USA
Meeting Type: Annual
Primary CUSIP: 94106L109

Ticker: WM

Primary ISIN: US94106L1098

Primary SEDOL: 2937667

Shares Voted: 74,892

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Bruce E. Chinn                                     | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director James C. Fish, Jr.                                 | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Andres R. Gluski                                   | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Victoria M. Holt                                   | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Kathleen M. Mazzarella                             | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Sean E. Menke                                      | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director William B. Plummer                                 | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director John C. Pope                                       | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Maryrose T. Sylvester                              | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 5                  | Approve Omnibus Stock Plan  | Mgmt      | For         | For                 | No                      |

# **American Water Works Company, Inc.**

Meeting Date: 05/10/2023 Record Date: 03/17/2023

Meeting Type: Annual

Country: USA

**Primary CUSIP:** 030420103

Primary Security ID: 030420103

Executive Officers' Compensation

Ticker: AWK

Primary ISIN: US0304201033

Shares Voted: 35,725

Primary SEDOL: B2R3PV1

Vote Proposal Mgmt Vote Against Number **Proposal Text** Proponent Rec Instruction Mgmt Elect Director Jeffrey N. Edwards Mgmt For For No 1a 1b Elect Director Martha Clark Goss Mgmt For For No 1c Elect Director M. Susan Hardwick Mgmt For For No Elect Director Kimberly J. Harris 1d Mgmt For For No Elect Director Laurie P. Havanec Mgmt For 1e For No Elect Director Julia L. Johnson Mgmt For No 1f For Elect Director Patricia L. Kampling Mgmt For For No 1g 1h Elect Director Karl F. Kurz Mgmt For For No Elect Director Michael L. Marberry Mgmt For For No Elect Director James G. Stavridis 1j Mgmt For For No Advisory Vote to Ratify Named Mgmt For For No

# **American Water Works Company, Inc.**

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-----------------|
| 3                  | Advisory Vote on Say on Pay<br>Frequency      | Mgmt      | One Year    | One Year            | No              |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For         | For                 | No              |
| 5                  | Oversee and Report a Racial Equity<br>Audit   | SH        | Against     | Against             | No              |

# Calix, Inc.

**Meeting Date:** 05/11/2023

Country: USA

Ticker: CALX

Record Date: 03/14/2023 Primary Security ID: 13100M509 Meeting Type: Annual

Primary CUSIP: 13100M509

Primary ISIN: US13100M5094

Primary SEDOL: B3S4L67

Shares Voted: 125,440

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1.1                | Elect Director Kathleen Crusco                                    | Mgmt      | For         | Withhold            | Yes                     |
| 1.2                | Elect Director Carl Russo   | Mgmt      | For         | For                 | No                      |
| 2                  | Amend Omnibus Stock Plan  | Mgmt      | For         | For                 | No                      |
| 3                  | Amend Nonqualified Employee Stock<br>Purchase Plan                | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 5                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 6                  | Ratify KPMG LLP as Auditors                                       | Mgmt      | For         | For                 | No                      |

## **Xylem Inc.**

**Meeting Date:** 05/11/2023 **Record Date:** 04/05/2023

Country: USA

Meeting Type: Special

Primary CUSIP: 98419M100

Primary Security ID: 98419M100

Ticker: XYL

Primary ISIN: US98419M1009

Primary SEDOL: B3P2CN8

Shares Voted: 47,316

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1                  | Issue Shares in Connection with Acquisition | Mgmt      | For         | For                 | No                      |
| 2                  | Adjourn Meeting                             | Mgmt      | For         | For                 | No                      |

## **Deutsche Boerse AG**

Meeting Date: 05/16/2023 Record Date: 05/09/2023 Primary Security ID: D1882G119 **Country:** Germany **Meeting Type:** Annual

Primary CUSIP: D1882G119

Ticker: DB1

**Primary ISIN:** DE0005810055

Primary SEDOL: 7021963

Shares Voted: 58,052

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1                  | Receive Financial Statements and<br>Statutory Reports for Fiscal Year 2022<br>(Non-Voting)  | Mgmt      |             |                     |                         |
| 2                  | Approve Allocation of Income and Dividends of EUR 3.60 per Share  | Mgmt      | For         | For                 | No                      |
| 3                  | Approve Discharge of Management<br>Board for Fiscal Year 2022   | Mgmt      | For         | For                 | No                      |
| 4                  | Approve Discharge of Supervisory<br>Board for Fiscal Year 2022  | Mgmt      | For         | For                 | No                      |
| 5.1                | Approve Virtual-Only Shareholder<br>Meetings Until 2025   | Mgmt      | For         | For                 | No                      |
| 5.2                | Amend Articles Re: Participation of<br>Supervisory Board Members in the<br>Annual General Meeting by Means of<br>Audio and Video Transmission                             | Mgmt      | For         | For                 | No                      |
| 5.3                | Amend Articles Re: AGM Convocation  | Mgmt      | For         | For                 | No                      |
| 6                  | Amend Articles Re: Registration in the Share Register   | Mgmt      | For         | For                 | No                      |
| 7                  | Approve Remuneration Report   | Mgmt      | For         | For                 | No                      |
| 8                  | Ratify PricewaterhouseCoopers GmbH<br>as Auditors for Fiscal Year 2023 and<br>for the Review of Interim Financial<br>Statements for the First Half of Fiscal<br>Year 2023 | Mgmt      | For         | For                 | No                      |

## **Abcam Plc**

Meeting Date: 05/17/2023 Record Date: 03/31/2023 Primary Security ID: 000380204 Country: United Kingdom
Meeting Type: Annual
Primary CUSIP: 000380204

Ticker: ABCM

**Primary ISIN:** US0003802040

Primary SEDOL: BMF7DY4

Shares Voted: 331,809

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
|                    | Meeting for ADR Holders  | Mgmt      |             |                     |                         |
| 1                  | Accept Financial Statements and Statutory Reports                            | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Remuneration Report  | Mgmt      | For         | For                 | No                      |
| 3                  | Reappoint PricewaterhouseCoopers<br>LLP as Auditors                          | Mgmt      | For         | For                 | No                      |
| 4                  | Authorise the Audit and Risk<br>Committee to Fix Remuneration of<br>Auditors | Mgmt      | For         | For                 | No                      |
| 5                  | Re-elect Peter Allen as Director   | Mgmt      | For         | For                 | No                      |
| 6                  | Re-elect Alan Hirzel as Director   | Mgmt      | For         | For                 | No                      |

### **Abcam Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 7                  | Re-elect Michael Baldock as Director  | Mgmt      | For         | For                 | No                      |
| 8                  | Re-elect Mara Aspinall as Director  | Mgmt      | For         | For                 | No                      |
| 9                  | Re-elect Giles Kerr as Director   | Mgmt      | For         | For                 | No                      |
| 10                 | Re-elect Mark Capone as Director  | Mgmt      | For         | For                 | No                      |
| 11                 | Re-elect Sally Crawford as Director   | Mgmt      | For         | For                 | No                      |
| 12                 | Re-elect Bessie Lee as Director   | Mgmt      | For         | For                 | No                      |
| 13                 | Elect Luba Greenwood as Director  | Mgmt      | For         | For                 | No                      |
| 14                 | Authorise Issue of Equity   | Mgmt      | For         | For                 | No                      |
| 15                 | Authorise Issue of Equity without<br>Pre-emptive Rights   | Mgmt      | For         | For                 | No                      |
| 16                 | Authorise Issue of Equity without<br>Pre-emptive Rights in Connection with<br>an Acquisition or Other Capital<br>Investment | Mgmt      | For         | For                 | No                      |
| 17                 | Adopt New Articles of Association   | Mgmt      | For         | For                 | No                      |
| 18                 | Approve Share Repurchase Contracts and Counterparties   | Mgmt      | For         | For                 | No                      |

# **Advanced Micro Devices, Inc.**

**Meeting Date:** 05/18/2023 **Record Date:** 03/22/2023

Country: USA

Meeting Type: Annual

Primary Security ID: 007903107

Primary CUSIP: 007903107

Ticker: AMD

**Primary ISIN:** US0079031078

Primary SEDOL: 2007849

Shares Voted: 54,898

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Nora M. Denzel                                     | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Mark Durcan  | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Michael P. Gregoire                                | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Joseph A. Householder                              | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director John W. Marren                                     | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Jon A. Olson                                       | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Lisa T. Su   | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Abhi Y. Talwalkar                                  | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Elizabeth W. Vanderslice                           | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Omnibus Stock Plan  | Mgmt      | For         | For                 | No                      |
| 3                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For         | For                 | No                      |
| 4                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |

# **Advanced Micro Devices, Inc.**

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 5                  | Advisory Vote on Say on Pay<br>Frequency | Mgmt      | One Year    | One Year            | No                      |

## **AIA Group Limited**

**Primary Security ID:** Y002A1105

Meeting Date: 05/18/2023 **Record Date:** 05/12/2023

Country: Hong Kong

Meeting Type: Annual

Primary CUSIP: Y002A1105

Ticker: 1299

**Primary ISIN:** HK0000069689

Primary SEDOL: B4TX8S1

Shares Voted: 680,800

|                    |   |           |             |                   | ,                          |  |
|--------------------|---|-----------|-------------|-------------------|----------------------------|--|
| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instructi | Vote<br>Against<br>on Mgmt |  |
| 1                  | Accept Financial Statements and Statutory Reports   | Mgmt      | For         | For               | No                         |  |
| 2                  | Approve Final Dividend  | Mgmt      | For         | For               | No                         |  |
| 3                  | Elect Edmund Sze-Wing Tse as<br>Director  | Mgmt      | For         | For               | No                         |  |
| 4                  | Elect Jack Chak-Kwong So as Director  | Mgmt      | For         | For               | No                         |  |
| 5                  | Elect Lawrence Juen-Yee Lau as Director   | Mgmt      | For         | For               | No                         |  |
| 6                  | Approve PricewaterhouseCoopers as<br>Auditor and Authorize Board to Fix<br>Their Remuneration | Mgmt      | For         | For               | No                         |  |
| 7A                 | Approve Issuance of Equity or<br>Equity-Linked Securities without<br>Preemptive Rights        | Mgmt      | For         | For               | No                         |  |
| 7B                 | Authorize Repurchase of Issued Share Capital  | Mgmt      | For         | For               | No                         |  |
| 8                  | Approve Increase in Rate of Directors' Fees   | Mgmt      | For         | For               | No                         |  |
| 9                  | Amend Share Option Scheme   | Mgmt      | For         | For               | No                         |  |
| 10                 | Amend Restricted Share Unit Scheme  | Mgmt      | For         | For               | No                         |  |
| 11                 | Amend Employee Share Purchase Plan  | Mgmt      | For         | For               | No                         |  |
| 12                 | Amend Agency Share Purchase Plan  | Mgmt      | For         | For               | No                         |  |
|                    |   |           |             |                   |                            |  |

# **ON Semiconductor Corporation**

Meeting Date: 05/18/2023 **Record Date:** 03/21/2023

Country: USA

Primary Security ID: 682189105

Meeting Type: Annual

**Primary CUSIP:** 682189105 Primary ISIN: US6821891057

Ticker: ON

Primary SEDOL: 2583576

Shares Voted: 90,368

| Proposal<br>Number | Proposal Text              | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|----------------------------|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Atsushi Abe | Mgmt      | For         | For                 | No                      |

# **ON Semiconductor Corporation**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1b                 | Elect Director Alan Campbell                                      | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Susan K. Carter                                    | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Thomas L. Deitrich                                 | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Hassane El-Khoury                                  | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Bruce E. Kiddoo                                    | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Paul A. Mascarenas                                 | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Gregory Waters                                     | Mgmt      | For         | For                 | No                      |
| <b>1</b> i         | Elect Director Christine Y. Yan                                   | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |

# **Xylem Inc.**

**Meeting Date:** 05/18/2023 **Record Date:** 03/20/2023

Country: USA

Meeting Type: Annual

Primary Security ID: 98419M100

Primary CUSIP: 98419M100

Ticker: XYL

Primary ISIN: US98419M1009

Primary SEDOL: B3P2CN8

Shares Voted: 47,316

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1a                 | Elect Director Jeanne Beliveau-Dunn                               | Mgmt      | For         | For                 | No                      |
| 1b                 | Elect Director Patrick K. Decker                                  | Mgmt      | For         | For                 | No                      |
| 1c                 | Elect Director Earl R. Ellis                                      | Mgmt      | For         | For                 | No                      |
| 1d                 | Elect Director Robert F. Friel                                    | Mgmt      | For         | For                 | No                      |
| 1e                 | Elect Director Victoria D. Harker                                 | Mgmt      | For         | For                 | No                      |
| 1f                 | Elect Director Steven R. Loranger                                 | Mgmt      | For         | For                 | No                      |
| 1g                 | Elect Director Mark D. Morelli                                    | Mgmt      | For         | For                 | No                      |
| 1h                 | Elect Director Jerome A. Peribere                                 | Mgmt      | For         | For                 | No                      |
| 1i                 | Elect Director Lila Tretikov                                      | Mgmt      | For         | For                 | No                      |
| 1j                 | Elect Director Uday Yadav   | Mgmt      | For         | For                 | No                      |
| 2                  | Ratify Deloitte & Touche LLP as<br>Auditors                       | Mgmt      | For         | For                 | No                      |
| 3                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | For                 | No                      |
| 4                  | Require Independent Board Chair                                   | SH        | Against     | Against             | No                      |

### **NXP Semiconductors N.V.**

Meeting Date: 05/24/2023 Record Date: 04/26/2023

**Primary Security ID:** N6596X109

**Country:** Netherlands **Meeting Type:** Annual

Primary CUSIP: N6596X109

Ticker: NXPI

**Primary ISIN:** NL0009538784

Primary SEDOL: B505PN7

Shares Voted: 35,454

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec |   | Vote<br>Instruction | Vote<br>Against<br>Mgmt |  |
|--------------------|---|-----------|-------------|---|---------------------|-------------------------|--|
| 1                  | Adopt Financial Statements and<br>Statutory Reports                         | Mgmt      | For         | F | For                 | No                      |  |
| 2                  | Approve Discharge of Board Members  | Mgmt      | For         | F | For                 | No                      |  |
| 3a                 | Reelect Kurt Sievers as Executive<br>Director                               | Mgmt      | For         | F | For                 | No                      |  |
| 3b                 | Reelect Annette Clayton as<br>Non-Executive Director                        | Mgmt      | For         | F | For                 | No                      |  |
| 3c                 | Reelect Anthony Foxx as<br>Non-Executive Director                           | Mgmt      | For         | F | For                 | No                      |  |
| 3d                 | Reelect Chunyuan Gu as<br>Non-Executive Director                            | Mgmt      | For         | F | For                 | No                      |  |
| 3e                 | Reelect Lena Olving as Non-Executive<br>Director                            | Mgmt      | For         | F | For                 | No                      |  |
| 3f                 | Reelect Julie Southern as<br>Non-Executive Director                         | Mgmt      | For         | F | For                 | No                      |  |
| 3g                 | Reelect Jasmin Staiblin as<br>Non-Executive Director                        | Mgmt      | For         | F | For                 | No                      |  |
| 3h                 | Reelect Gregory Summe as<br>Non-Executive Director                          | Mgmt      | For         | F | For                 | No                      |  |
| 3i                 | Reelect Karl-Henrik Sundstrom as<br>Non-Executive Director                  | Mgmt      | For         | F | For                 | No                      |  |
| 3j                 | Reelect Moshe Gavrielov as<br>Non-Executive Director                        | Mgmt      | For         | F | For                 | No                      |  |
| 4                  | Grant Board Authority to Issue Shares<br>Up To 10 Percent of Issued Capital | Mgmt      | For         | F | For                 | No                      |  |
| 5                  | Authorize Board to Exclude Preemptive Rights from Share Issuances           | Mgmt      | For         | F | For                 | No                      |  |
| 6                  | Authorize Share Repurchase Program  | Mgmt      | For         | F | For                 | No                      |  |
| 7                  | Approve Cancellation of Ordinary<br>Shares                                  | Mgmt      | For         | F | For                 | No                      |  |
| 8                  | Ratify Ernst & Young Accountants LLP as Auditors                            | Mgmt      | For         | F | For                 | No                      |  |
| 9                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation           | Mgmt      | For         | F | For                 | No                      |  |

# **Partners Group Holding AG**

**Meeting Date:** 05/24/2023

**Record Date:** 

**Country:** Switzerland **Meeting Type:** Annual

Ticker: PGHN

Primary Security ID: H6120A101

Primary CUSIP: H6120A101

**Primary ISIN:** CH0024608827

Primary SEDOL: B119QG0

Shares Voted: 9,424

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Allocation of Income and Dividends of CHF 37.00 per Share                                      | Mgmt      | For         | For                 | No                      |
| 3                  | Approve Discharge of Board and<br>Senior Management  | Mgmt      | For         | For                 | No                      |
| 4.1                | Amend Corporate Purpose  | Mgmt      | For         | For                 | No                      |
| 4.2                | Approve Virtual-Only or Hybrid<br>Shareholder Meetings   | Mgmt      | For         | For                 | No                      |
| 4.3                | Amend Articles of Association  | Mgmt      | For         | For                 | No                      |
| 4.4                | Amend Articles Re: Restriction on<br>Share Transferability   | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Remuneration Report  | Mgmt      | For         | For                 | No                      |
| 6.1                | Approve Fixed Remuneration of Directors in the Amount of CHF 3.5 Million                               | Mgmt      | For         | For                 | No                      |
| 6.2                | Approve Variable Long-Term<br>Remuneration of Executive Directors in<br>the Amount of CHF 6.8 Million  | Mgmt      | For         | For                 | No                      |
| 6.3                | Approve Technical Non-Financial<br>Remuneration of Directors in the<br>Amount of CHF 13.3 Million      | Mgmt      | For         | For                 | No                      |
| 6.4                | Approve Remuneration Budget of<br>Executive Committee in the Amount of<br>CHF 13 Million               | Mgmt      | For         | For                 | No                      |
| 6.5                | Approve Variable Long-Term<br>Remuneration of Executive Committee<br>in the Amount of CHF 23.9 Million | Mgmt      | For         | For                 | No                      |
| 6.6                | Approve Technical Non-Financial<br>Remuneration of Executive Committee<br>in the Amount of CHF 90,000  | Mgmt      | For         | For                 | No                      |
| 7.1.1              | Reelect Steffen Meister as Director and Board Chair  | Mgmt      | For         | For                 | No                      |
| 7.1.2              | Reelect Marcel Erni as Director  | Mgmt      | For         | For                 | No                      |
| 7.1.3              | Reelect Alfred Gantner as Director   | Mgmt      | For         | For                 | No                      |
| 7.1.4              | Reelect Anne Lester as Director  | Mgmt      | For         | For                 | No                      |
| 7.1.5              | Elect Gaelle Olivier as Director   | Mgmt      | For         | For                 | No                      |
| 7.1.6              | Reelect Martin Strobel as Director   | Mgmt      | For         | For                 | No                      |
| 7.1.7              | Reelect Urs Wietlisbach as Director  | Mgmt      | For         | For                 | No                      |
| 7.1.8              | Reelect Flora Zhao as Director   | Mgmt      | For         | For                 | No                      |
| 7.2.1              | Reappoint Flora Zhao as Member of<br>the Nomination and Compensation<br>Committee                      | Mgmt      | For         | For                 | No                      |

# **Partners Group Holding AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 7.2.2              | Reappoint Anne Lester as Member of<br>the Nomination and Compensation<br>Committee    | Mgmt      | For         | For                 | No                      |
| 7.2.3              | Reappoint Martin Strobel as Member of<br>the Nomination and Compensation<br>Committee | Mgmt      | For         | For                 | No                      |
| 7.3                | Designate Hotz & Goldmann as<br>Independent Proxy                                     | Mgmt      | For         | For                 | No                      |
| 7.4                | Ratify KPMG AG as Auditors  | Mgmt      | For         | For                 | No                      |
| 8                  | Transact Other Business (Voting)  | Mgmt      | For         | Against             | Yes                     |
|                    |   |           |             |                     |                         |

# MediaTek, Inc.

Meeting Date: 05/31/2023 **Record Date:** 03/31/2023

Country: Taiwan

Meeting Type: Annual

Primary CUSIP: Y5945U103 Primary Security ID: Y5945U103

Ticker: 2454

Primary ISIN: TW0002454006

Primary SEDOL: 6372480

Shares Voted: 203,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruct | Vote<br>Against<br>on Mgmt |  |
|--------------------|---|-----------|-------------|------------------|----------------------------|--|
| 1                  | Approve Business Operations Report and Financial Statements                   | Mgmt      | For         | For              | No                         |  |
| 2                  | Approve Profit Distribution   | Mgmt      | For         | For              | No                         |  |
| 3                  | Approve Amendments to Articles of Association                                 | Mgmt      | For         | For              | No                         |  |
|                    | ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING                              | Mgmt      |             |                  |                            |  |
| 4.1                | Elect Syaru Shirley Lin, with ID<br>NO.A222291XXX, as Independent<br>Director | Mgmt      | For         | For              | No                         |  |
| 5                  | Approve Release of Restrictions of<br>Competitive Activities of Directors     | Mgmt      | For         | For              | No                         |  |

# Taiwan Semiconductor Manufacturing Co., Ltd.

Meeting Date: 06/06/2023 **Record Date:** 04/07/2023

Country: Taiwan Meeting Type: Annual

**Primary Security ID:** Y84629107

Primary CUSIP: Y84629107

Ticker: 2330

Primary ISIN: TW0002330008

Primary SEDOL: 6889106

Shares Voted: 499,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1                  | Approve Business Operations Report and Financial Statements | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Issuance of Restricted Stocks                       | Mgmt      | For         | For                 | No                      |

# **Taiwan Semiconductor Manufacturing Co., Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 3                  | Amend Procedures for Endorsement and Guarantees   | Mgmt      | For         | Against             | Yes                     |
| 4                  | Amend the Name of Audit Committee in the Policies (I)Procedures for Acquisition or Disposal of Assets (II)Procedures for Financial Derivatives Transactions (III)Procedures for Lending Funds to Other Parties (IV)Procedures for Endorsement and Guarantee | Mgmt      | For         | For                 | No                      |

# **Bruker Corporation**

Meeting Date: 06/07/2023 **Record Date:** 04/10/2023

Primary Security ID: 116794108

Country: USA Meeting Type: Annual Ticker: BRKR

**Primary CUSIP:** 116794108 Primary ISIN: US1167941087 Primary SEDOL: 2616137

Shares Voted: 77,099

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 1.1                | Elect Director Cynthia M. Friend                                  | Mgmt      | For         | For                 | No                      |
| 1.2                | Elect Director Philip Ma  | Mgmt      | For         | For                 | No                      |
| 1.3                | Elect Director Hermann F. Requardt                                | Mgmt      | For         | For                 | No                      |
| 2                  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | Mgmt      | For         | Against             | Yes                     |
| 3                  | Advisory Vote on Say on Pay<br>Frequency                          | Mgmt      | One Year    | One Year            | No                      |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For         | For                 | No                      |

Ticker: 1211

# **BYD Company Limited**

Meeting Date: 06/08/2023 **Record Date:** 06/01/2023

Country: China Meeting Type: Annual

Primary Security ID: Y1023R104

Primary CUSIP: Y1023R104

Primary ISIN: CNE100000296 Primary SEDOL: 6536651

Shares Voted: 171,500

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
|                    | AGM BALLOT FOR HOLDERS OF H<br>SHARES       | Mgmt      |             |                     |                         |
| 1                  | Approve Report of the Board of Directors    | Mgmt      | For         | For                 | No                      |
| 2                  | Approve Report of the Supervisory Committee | Mgmt      | For         | For                 | No                      |
| 3                  | Approve Audited Financial Report            | Mgmt      | For         | For                 | No                      |

# **BYD Company Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 4                  | Approve Annual Reports and Its<br>Summary  | Mgmt      | For         | For                 | No                      |
| 5                  | Approve Profit Distribution Plan   | Mgmt      | For         | For                 | No                      |
| 6                  | Approve Ernst & Young Hua Ming LLP<br>as Sole External Auditor and Internal<br>Control Audit Institution and Authorize<br>Board to Fix Their Remuneration  | Mgmt      | For         | For                 | No                      |
| 7                  | Approve Provision of Guarantees by the Group   | Mgmt      | For         | Against             | Yes                     |
| 8                  | Approve Estimated Cap of Ordinary<br>Connected Transactions  | Mgmt      | For         | For                 | No                      |
| 9                  | Approve Issuance of Equity or<br>Equity-Linked Securities without<br>Preemptive Rights for Additional H<br>Shares  | Mgmt      | For         | Against             | Yes                     |
| 10                 | Approve Issuance by BYD Electronic<br>(International) Company Limited of<br>Equity or Equity-Linked Securities<br>without Preemptive Rights  | Mgmt      | For         | Against             | Yes                     |
| 11                 | Approve Authorization to the Board to<br>Determine the Proposed Plan for the<br>Issuance of Debt Financing<br>Instrument(s)  | Mgmt      | For         | Against             | Yes                     |
| 12                 | Approve Purchase of Liability Insurance for Directors, Supervisors, Senior Management and Other Related Persons and Authorize the Chairman or Its Authorized Persons to Handle All Related Matters | Mgmt      | For         | For                 | No                      |
| 13                 | Approve Compliance Manual in Relation to Connected Transaction   | Mgmt      | For         | For                 | No                      |

# **KEYENCE Corp.**

Meeting Date: 06/14/2023 **Record Date:** 03/20/2023

Country: Japan Meeting Type: Annual

Primary CUSIP: J32491102

Primary Security ID: J32491102

Ticker: 6861

**Primary ISIN:** JP3236200006

Primary SEDOL: 6490995

Shares Voted: 10,700

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|--|-----------|-------------|---------------------|-------------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 150 | Mgmt      | For         | For                 | No                      |
| 2.1                | Elect Director Takizaki, Takemitsu                             | Mgmt      | For         | For                 | No                      |
| 2.2                | Elect Director Nakata, Yu                                      | Mgmt      | For         | Against             | Yes                     |
| 2.3                | Elect Director Yamaguchi, Akiji                                | Mgmt      | For         | For                 | No                      |
| 2.4                | Elect Director Yamamoto, Hiroaki                               | Mgmt      | For         | For                 | No                      |
| 2.5                | Elect Director Nakano, Tetsuya                                 | Mgmt      | For         | For                 | No                      |
| 2.6                | Elect Director Yamamoto, Akinori                               | Mgmt      | For         | For                 | No                      |

# **KEYENCE Corp.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt<br>Rec | Vote<br>Instruction | Vote<br>Against<br>Mgmt |
|--------------------|---|-----------|-------------|---------------------|-------------------------|
| 2.7                | Elect Director Taniguchi, Seiichi                         | Mgmt      | For         | For                 | No                      |
| 2.8                | Elect Director Suenaga, Kumiko                            | Mgmt      | For         | For                 | No                      |
| 2.9                | Elect Director Yoshioka, Michifumi                        | Mgmt      | For         | For                 | No                      |
| 3                  | Appoint Statutory Auditor Komura,<br>Koichiro             | Mgmt      | For         | For                 | No                      |
| 4                  | Appoint Alternate Statutory Auditor<br>Yamamoto, Masaharu | Mgmt      | For         | For                 | No                      |

# **SMC Corp. (Japan)**

Meeting Date: 06/29/2023 Record Date: 03/31/2023

Primary Security ID: J75734103

Country: Japan Meeting Type: Annual Primary CUSIP: J75734103 Ticker: 6273

**Primary ISIN:** JP3162600005

Primary SEDOL: 6763965

Shares Voted: 16,100

|                    |  |           |             | Shares Voted: 16,100 |                         |
|--------------------|--|-----------|-------------|----------------------|-------------------------|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt<br>Rec | Vote<br>Instruction  | Vote<br>Against<br>Mgmt |
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 450 | Mgmt      | For         | For                  | No                      |
| 2.1                | Elect Director Takada, Yoshiki                                 | Mgmt      | For         | Against              | Yes                     |
| 2.2                | Elect Director Doi, Yoshitada                                  | Mgmt      | For         | For                  | No                      |
| 2.3                | Elect Director Isoe, Toshio                                    | Mgmt      | For         | For                  | No                      |
| 2.4                | Elect Director Ota, Masahiro                                   | Mgmt      | For         | For                  | No                      |
| 2.5                | Elect Director Maruyama, Susumu                                | Mgmt      | For         | For                  | No                      |
| 2.6                | Elect Director Samuel Neff                                     | Mgmt      | For         | For                  | No                      |
| 2.7                | Elect Director Ogura, Koji                                     | Mgmt      | For         | For                  | No                      |
| 2.8                | Elect Director Kelley Stacy                                    | Mgmt      | For         | For                  | No                      |
| 2.9                | Elect Director Kaizu, Masanobu                                 | Mgmt      | For         | For                  | No                      |
| 2.10               | Elect Director Kagawa, Toshiharu                               | Mgmt      | For         | For                  | No                      |
| 2.11               | Elect Director Iwata, Yoshiko                                  | Mgmt      | For         | For                  | No                      |
| 2.12               | Elect Director Miyazaki, Kyoichi                               | Mgmt      | For         | For                  | No                      |
| 3.1                | Appoint Statutory Auditor Chiba,<br>Takemasa                   | Mgmt      | For         | For                  | No                      |
| 3.2                | Appoint Statutory Auditor Toyoshi,<br>Arata                    | Mgmt      | For         | For                  | No                      |
| 3.3                | Appoint Statutory Auditor Uchikawa,<br>Haruya                  | Mgmt      | For         | For                  | No                      |
| 4                  | Appoint Ernst & Young ShinNihon LLC as New External Audit Firm | Mgmt      | For         | For                  | No                      |
| 5                  | Approve Trust-Type Equity<br>Compensation Plan                 | Mgmt      | For         | For                  | No                      |